

State Board of Embalmers and Funeral Directors

December 11-12, 2012
Hampton Inn & Suites – Country Club Plaza
4600 Summit, Kansas City MO 64112

OPEN AGENDA

December 11, 2012

2:00p.m.

1. Call to Order
2. Roll Call
3. (Tab 1) Approval of the Agenda
4. CLOSED

December 12, 2012

8:30a.m.

5. (Tab 2) Approval of Open Minutes
 - September 25, 2012 Board meeting minutes
6. (Tab 3) Executive Director Report
 - *Embalmer/Funeral Director renewal update*
 - *License statistics (new, closed/ceased, disciplined)*
7. Legal Counsel Report
8. Presentation by Scott Lindley -- 9:15a.m.
9. Discussion relating to board member notification of new, closed/ceased, disciplined licenses (Todd Mahn)
10. Discussion of licensure categories (Todd Mahn)
11. Discussion of renewal deadlines (John McCulloch)
12. (Tab 4) Discussion of preneed seller renewal/annual report forms (Todd Mahn)
13. Discussion of \$36 per contract fee relating to insurance funded preneed contracts (John McCulloch)
14. Discussion/Dialogue
15. Adjournment

12/3/2012

Original Licenses Issued
Between 09/20/2012 and 12/03/2012

Board Name**Embalmers & Funeral Directors**

Licensee Name	License #	Orig Issue Date
Fowler, Joshua Wade	2012033558	9/21/2012
Reinbold, Eric Wayne	2012035207	10/10/2012
Baldwin, Melissa Dyan	2012036032	10/17/2012
Lindner, Andrew Evan	2012037338	10/26/2012
Goth, Kevin John	2012037414	10/29/2012

Embalmer Apprentice

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Licensee Name	License #	Orig Issue Date
May, Holwell James, II	2012034940	10/4/2012
Pargman, Avery Nichole	2012035677	10/15/2012
Chandler, Carey Lyle	2012036142	10/17/2012
Lacey, Shelly Jane	2012037209	10/26/2012
Schoen, Dalriane Lacy	2012038289	11/7/2012
Coulston, Christy M	2012039032	11/15/2012
Ellis, Christopher Byron	2012040369	11/30/2012

Embalmer

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Licensee Name	License #	Orig Issue Date
Walkins, Rachael Lee	2012034033	9/26/2012
Day, Aaron Lea	2012034735	10/3/2012
Brooks, Ward Paul	2012034756	10/3/2012
Cole, Andrew Neal	2012035024	10/5/2012
Higgins, Rhonda Mae	2012035069	10/5/2012
Kinsey, Deborah Sue	2012035106	10/5/2012
Curry, Michael Carl	2012035162	10/9/2012
Sisney, Robert Dean	2012035240	10/10/2012
Hulett, Constance	2012036153	10/17/2012
Voepel, Jeffrey Allen	2012037078	10/25/2012
Wood, Felicia Kay-Ann	2012037429	10/29/2012
Lopez, Olga Cristina	2012037441	10/29/2012
Roth, Candice Susan	2012037474	10/29/2012
Roth, Rachel Lynn	2012037519	10/29/2012
Northern, Donald Joe, II	2012038568	11/8/2012
Myers, David Alan	2012038607	11/8/2012
Meyers, Kourtney Jayne	2012038929	11/14/2012
Olsen, Kaycee Elizabeth	2012039992	11/28/2012
Thompson, Teresa Jo	2012040217	11/29/2012
Best, Kyle Robert	2012040363	11/30/2012

Funeral Director Apprentice

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Licensee Name	License #	Orig Issue Date
Main, Elaine Marie	2012036128	10/17/2012

12/3/2012

**Original Licenses Issued
Between 09/20/2012 and 12/03/2012**

Board Name**Embalmers & Funeral Directors**

Licensee Name	License #	Orig Issue Date
Chandler, Carey Lyle	2012036132	10/17/2012
Pearse, Leanna June	2012036937	10/24/2012
Baldwin, Melissa Dyan	2012037320	10/26/2012
Manzanares, Sara Marie	2012037722	10/31/2012
Bevis, Tabitha Deann	2012038768	11/13/2012
Coulston, Christy Marie	2012039030	11/15/2012
Badell, Jill H	2012040180	11/29/2012
Campbell, Joseph Scott	2012040483	12/3/2012

Funeral Director 9

Licensee Name	License #	Orig Issue Date
Ruegg Funeral Homes Inc	2012034356	9/28/2012
Woods Memorial Group LLC	2012035250	10/10/2012
Cremation Society of Missouri, Inc	2012037174	10/25/2012

Funeral Establishment 3

Licensee Name	License #	Orig Issue Date
Smith, Tiffany Annette	2012035023	10/5/2012
Bryson, Dawn L	2012035774	10/16/2012
Clohesy, Kevin Peter	2012035783	10/16/2012
Thompson, Cheryal Ann	2012038172	11/6/2012
Hill, David Allen	2012038963	11/14/2012

Preneed Agent Funeral Director 5

Licensee Name	License #	Orig Issue Date
Wright, Christina Marie	2012034017	9/26/2012
Baldwin, Melissa Dyan	2012036072	10/17/2012
Miller, David Neal	2012036941	10/24/2012
Fowler, April	2012037221	10/26/2012
Davis, Jeffrey Lee	2012038000	11/5/2012
Hodgson, Emily Marie	2012038171	11/6/2012
Morton, Lisa Gail	2012038964	11/14/2012
Sprull, Katie Diane	2012040358	11/30/2012

Preneed Agent 8

Licensee Name	License #	Orig Issue Date
Ruegg Funeral Homes Inc	2012034357	9/28/2012
Arthenia L Carson Memorial Mortuary Services LLC	2012035022	10/5/2012
Cremation Society of Missouri, Inc	2012037170	10/25/2012

Preneed Provider 3

Licensee Name	License #	Orig Issue Date
American DataSource, Inc.	2012039239	11/19/2012

Preneed Seller 1

Total count for the Embalmers & Funeral Directors board: 61

NEW

ESTABLISHED
NEW PROVIDER
NEW SOUTHERN

Missouri Division of Professional Registration
3605 Missouri Blvd.
Jefferson City, Missouri 65102
(573) 751-0293

**Practitioner Newly Licensed
09/20/2012 - 12/03/2012
Application**

Name	Address	Profession	License Number	Original Issue Date	Expiration Date
Baldwin, Melissa Dyan	207 N Stoddard, Sikeston, MO 63801	Embalmer Apprentice	2012036032	10/17/2012	10/16/2017
Fowler, Joshua Wade	Rt 2 Box 150, Naylor, MO 63953	Embalmer Apprentice	2012033558	09/21/2012	09/20/2017
Goth, Kevin John	908 Meadowbrook Dr, Clinton, MO 64735	Embalmer Apprentice	2012037414	10/29/2012	10/28/2017
Lincher, Andrew Evan	208 W 2nd St, Stantberry, MO 64489	Embalmer Apprentice	2012037338	10/25/2012	10/25/2017
Reinbold, Eric Wayne	3400 N National, Springfield, MO 65803	Embalmer Apprentice	2012035207	10/10/2012	10/09/2017
Dickey, Brock Phillip	25109 S Skyline Dr, Harrisonville, MO 64701	Embalmer Practicum	2012036294	10/26/2012	05/21/2013
Jones, Trevor Jesse	1012B State Hwy T, Branson, MO 65616	Embalmer Practicum	2012034598	09/29/2012	09/29/2013
Rollins, Stephanie Jean	3828 Hardy Ave, Kansas City, MO 64133	Embalmer Practicum	2012036302	10/24/2012	07/18/2013
Weyrauch, Rebecca Ann	Rt 5 Box 486, Ava, MO 65608	Embalmer Practicum	2012037642	10/29/2012	05/15/2013
Best, Kyle Robert	11455 Oak St Num 101, Kansas City, MO 64114	Funeral Director Apprentice	2012040363	11/30/2012	11/29/2014
Brooks, Ward Paul	3410 W Colony Sq, Saint Joseph, MO 64506	Funeral Director Apprentice	2012034756	10/03/2012	10/02/2014
Cole, Andrew Neal	265 Karina Ln, Arcadia, MO 63621	Funeral Director Apprentice	2012035024	10/05/2012	10/04/2014
Curry, Michael Carl	2626 Illinois Ave, Joplin, MO 64804	Funeral Director Apprentice	2012035162	10/09/2012	10/08/2014
Day, Aaron Lea	1440 Briarwood Dr, Marshfield, MO 65706	Funeral Director Apprentice	2012034735	10/03/2012	10/02/2014
Higgins, Rhonda Mae	516 E Jefferson, Clinton, MO 64735	Funeral Director Apprentice	2012035069	10/05/2012	10/04/2014
Hulet, Constance	109 Buffalo, PO Box 264, Humansville, MO 65574	Funeral Director Apprentice	2012036153	10/17/2012	10/16/2014
Kimsey, Deborah Sue	1005 Rose Ave, Excelsior Springs, MO 64024	Funeral Director Apprentice	2012035106	10/05/2012	10/04/2014
Lopez, Olga Cristina	1210 N 50th St, Kansas City, KS 66102	Funeral Director Apprentice	2012037441	10/29/2012	10/28/2014
Meyers, Courtney Jayme	22600 SW Hampton Ct, Blue Springs, MO 64015	Funeral Director Apprentice	2012038929	11/14/2012	11/13/2014
Myers, David Alan	316 W Pacific St, Pacific, MO 63069	Funeral Director Apprentice	2012038607	11/08/2012	11/07/2014
Northern, Donald Joe, II	406 W 5th St Apt A, Kennett, MO 63857	Funeral Director Apprentice	2012038568	11/08/2012	11/07/2014
Olsen, Kaycee Elizabeth	3216 Watson Rd, Saint Louis, MO 63139	Funeral Director Apprentice	2012039992	11/28/2012	11/27/2014
Roth, Candice Susan	25 Meadowbrook C.C. Est, Ballwin, MO 63011	Funeral Director Apprentice	2012037474	10/29/2012	10/28/2014
Reth, Rachel Lynn	2428 Westglen Farms Dr, Wildwood, MO 63011	Funeral Director Apprentice	2012037519	10/29/2012	10/28/2014
Sisney, Robert Dean	2290 Rissler Rd, Sedalia, MO 65301	Funeral Director Apprentice	2012035240	10/10/2012	10/09/2014
Thompson, Teresa Jo	709 Hickory, Richmond, MO 64085	Funeral Director Apprentice	2012040217	11/29/2012	11/28/2014
Voepel, Jeffrey Allen	213 S 5th St, Hannibal, MO 63401	Funeral Director Apprentice	2012037078	10/25/2012	10/24/2014
Watkins, Rachael Lee	PO Box 96, Dexter, MO 63841	Funeral Director Apprentice	2012034033	09/25/2012	09/25/2014
Wood, Felicia Kay-Ann	100 S Oak St, California, MO 65018	Funeral Director Apprentice	2012037429	10/29/2012	10/28/2014
Cremation Society of Missouri, Inc	4175 Shady Springs Ln, Saint Peters, MO 63376	Funeral Establishment	2012037174	10/25/2012	12/31/2013

Practitioner Newly Licensed
09/20/2012 - 12/03/2012
Application

Name	Address	Profession	License Number	Original Issue Date	Expiration Date
Ruegg Funeral Homes Inc	1073 Hwy W, Poplar Bluff, MO 63901	Funeral Establishment	2012034356	09/28/2012	12/31/2013
Woods Memorial Group LLC	3216 S6 Emerald Ln, Jefferson City, MO 65109	Funeral Establishment	2012035250	10/10/2012	12/31/2013
Snyson, Dawn L	10002 Vail Drive, Hillsboro, MO 63050	Preneed Agent Funeral Director	2012035774	10/16/2012	10/31/2013
Clohessey, Kevin Peter	5631 Waterfront Drive North, Columbia, MO 65202	Preneed Agent Funeral Director	2012035783	10/16/2012	10/31/2013
Hill, David Allen	904 N Seede Ave, Appleton City, MO 64724	Preneed Agent Funeral Director	2012038963	11/14/2012	10/31/2013
Smith, Tiffany Annette	11 Godfrey Ln, Saint Louis, MO 63135	Preneed Agent Funeral Director	2012035023	10/05/2012	10/31/2013
Thompson, Cheryl Ann	15403 E 44th St S, Independence, MO 64056	Preneed Agent Funeral Director	2012038172	11/06/2012	10/31/2013
Athenia L Carson Memorial Mortuary Services LLC	2821 Hazelwood Tech Ct, Hazelwood, MO 63042	Preneed Provider	2012035022	10/05/2012	10/31/2013
Cremation Society of Missouri, Inc	4175 Shady Springs Ln, Saint Peters, MO 63376	Preneed Provider	2012037170	10/25/2012	10/31/2013
Ruegg Funeral Homes Inc	1073 Hwy W, Poplar Bluff, MO 63901	Preneed Provider	2012034357	09/28/2012	10/31/2013
American DataSource, Inc.	13111 Northwest Freeway Ste 100, Houston, TX 77040	Preneed Seller	2012039239	11/19/2012	10/31/2013
Total Newly Licensed by Application: 41					

Funeral Establishment	Name	Lic Number	Address	License Status	Exp Date	Closed Date
Lindley Funeral Homes, Inc.		2001023570	207 East 4th Street	Closed/Change of Location	12/31/2013	11/21/2012
Cremation Society of Missouri, Inc.		1999137901	Braymer, MO 64624 3950 West Clay Street, Suite 100	Closed/Change of Location	12/31/2013	10/23/2012
Slider Funeral Home - Grandview Inc		2012009199	Saint Charles, MO 63301 1016A Main St	Closed/Out of Business	12/31/2013	10/2/2012
Preneed Provider			Grandview, MO 64030			
Lindley Funeral Homes, Inc.		2012010232	207 E 4th St	Closed/Out of Business	10/31/2012	11/21/2012
Preneed Seller			Braymer, MO 64624			
Royers-Hays Funeral & Cremation Services LLC		2010021098	206 SW 17th St	Closed/Out of Business	10/31/2012	10/26/2012
Royers Grain Valley Inc		2010021099	Blue Springs, MO 64015 100 Royer Ln	Closed/Out of Business	10/31/2012	10/26/2012
			Grain Valley, MO 64029			

Disciplinary Actions

Ordered From 09/20/2012 Through 12/03/2012

Complaint: 2009-007432 Winters, Brian PD 2006000604 Board Investigation

Winters, Brian

S/C: ☐

11/08/2012

Probation

Order issued by the board suspending funeral director license 1 year followed by 5 years probation and embalmer license for 6 years. Winters has held a Missouri funeral director's license since January 10, 2006. Winters has also held a Missouri embalmer's license since February 7, 2006. At all relevant times these licenses were and are current and active. Between December 12, 1993, and August of 1996, Winters was an Illinois-licensed funeral director working for Russell Memorial Chapel located in East St. Louis, Illinois. Between December 12, 1993, and August of 1996, Winters was part of a scheme to defraud purchasers of prearranged funeral plans, the federal government, and Russell Memorial Chapel of more than \$30,000. Winters accomplished the fraud by selling pre-arranged funerals on behalf of Russell Memorial Chapel and then failing to pay over to Russell Memorial Chapel the funds he had received. Winters also falsified and forged assignment forms that he mailed to insurance companies and the United States government. By mailing these fraudulent assignment forms, he caused others to mail to him funds to which he was not entitled. On February 4, 1997, Winters pled guilty in the United States District Court, Southern District of Illinois, to the charge of mail fraud. Winters was committed to the United States Bureau of Prisons for 16 months, followed by three years of supervised release. Winters was assessed \$50.00 and ordered to pay restitution of \$36,854.62. On August 26, 1998, Winters' Illinois funeral director/embalmer license was revoked. The basis for the discipline was Winters' felony conviction for mail fraud in the United States District Court, Southern District of Illinois.

Complaint: 2012-005526 Friday, Oscar EMB 004809 DOR HB600 SUSPENDED

Friday, Oscar E, Jr

S/C: ☐

10/20/2012

Suspended 324.010

This license has been suspended by operation of law pursuant to Section 324.010, RSMo, which requires suspension of the professional license of individuals who fail to file state tax returns or fail to pay state tax liabilities.

Complaint: 2012-005527 Fino, Brian Emb007206 DOR HB600 SUSPENDED

Fino, Brian S

S/C: ☐

10/20/2012

Suspended 324.010

This license has been suspended by operation of law pursuant to Section 324.010, RSMo, which requires suspension of the professional license of individuals who fail to file state tax returns or fail to pay state tax liabilities.

Complaint: 2012-005528 Friday, Oscar DOR HB600

Friday, Oscar E, Jr

S/C: ☐

10/20/2012

Suspended 324.010

This license has been suspended by operation of law pursuant to Section 324.010, RSMo, which requires suspension of the professional license of individuals who fail to file state tax returns or fail to pay state tax liabilities.

Disciplinary Actions

Ordered From 09/20/2012 Through 12/03/2012

Complaint:	2012-005529	Fine, Brian FDR 008136	DOR HB600 SUSPENDED
Fine, Brian S	S/C: <input type="checkbox"/>		Suspended 324.010
	10/20/2012		This license has been suspended by operation of law pursuant to Section 324.010, RSMo, which requires suspension of the professional license of individuals who fail to file state tax returns or fail to pay state tax liabilities.
Complaint:	2012-005530	Harley, Joe FDR 008325	DOR HB600
Harley, Joe H	S/C: <input type="checkbox"/>		Suspended 324.010
	10/20/2012		This license has been suspended by operation of law pursuant to Section 324.010, RSMo, which requires suspension of the professional license of individuals who fail to file state tax returns or fail to pay state tax liabilities.
Complaint:	2012-005531	Taylor, Karl FDR008620	DOR HB600 SUSPENDED
Taylor, Karl G	S/C: <input type="checkbox"/>		Suspended 324.010
	10/20/2012		This license has been suspended by operation of law pursuant to Section 324.010, RSMo, which requires suspension of the professional license of individuals who fail to file state tax returns or fail to pay state tax liabilities.
Complaint:	2012-005532	Stanza, Marilyn FDR008680	DOR HB600 SUSPENDED
Stanza, Marilyn W	S/C: <input type="checkbox"/>		Suspended 324.010
	10/20/2012		This license has been suspended by operation of law pursuant to Section 324.010, RSMo, which requires suspension of the professional license of individuals who fail to file state tax returns or fail to pay state tax liabilities.
Complaint:	2012-005793	Spomer, Anthony EMB 2008008146	DOR HB600 SUSPENDED
Spomer, Anthony M	S/C: <input type="checkbox"/>		Suspended 324.010
	10/20/2012		This license has been suspended by operation of law pursuant to Section 324.010, RSMo, which requires suspension of the professional license of individuals who fail to file state tax returns or fail to pay state tax liabilities.
Complaint:	2012-005812	Spomer, Anthony FDR 2008007217	DOR HB600 SUSPENDED
Spomer, Anthony M	S/C: <input type="checkbox"/>		Suspended 324.010
	10/20/2012		This license has been suspended by operation of law pursuant to Section 324.010, RSMo, which requires suspension of the professional license of individuals who fail to file state tax returns or fail to pay state tax liabilities.
Complaint:	2012-006413	Baumann Colonial Chapel, Inc/Baumann Colonial Chapel PNS 2010000649 - Late Filing Annual Report	Suspense
Baumann Colonial Chapel	S/C: <input type="checkbox"/>		Suspension
DBA:Baumann Colonial Chapel	11/01/2012		This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Disciplinary Actions

Ordered From 09/20/2012 Through 12/03/2012

Complaint:	2012-006416	BI-State Cremation Services LLC/ BI-State Cremation Service PNS 2010036631 Late Filing Annual Report Susp
	BI-State Cremation Service S/C: <input type="checkbox"/>	Suspension
DBA:BI-State Cremation Service	11/01/2012	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.
Complaint:	2012-006416	Clark & Sons Funeral Chapel LLC PNS 2000039132- Late Filing Annual Report Suspension
	Clark & Sons Funeral Cha S/C: <input type="checkbox"/>	Suspension
	11/01/2012	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.
Complaint:	2012-006417	Ingersoll Chapel For Funeral & Cremation Services, LLC/Ingersoll Chapel PNS 2000039702 Late Filing Annual
	Ingersoll Chapel For Fune S/C: <input type="checkbox"/>	Suspension
DBA:Ingersoll Chapel	11/01/2012	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.
Complaint:	2012-006418	James-Gahr Mortuary, Inc PNS 2009037432 - Late Filing Annual Report Suspension
	James-Gahr Mortuary, Inc S/C: <input type="checkbox"/>	Suspension
	11/01/2012	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.
Complaint:	2012-006419	Officer Mortuary LLC 2011040380 PNS Late Filing Annual Report - Suspension
	Officer Mortuary LLC S/C: <input type="checkbox"/>	Suspension
	11/01/2012	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.
Complaint:	2012-006420	Polley Funeral Home LLC 2009039723 PNS- Late Filing Annual Report Suspension
	Polley Funeral Home LLC S/C: <input type="checkbox"/>	Suspension
	11/01/2012	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Disciplinary Actions

Ordered From 09/20/2012 Through 12/03/2012

Complaint:	2012-006421	Robert J Ambruster, Inc/Ambruster Chapel	2009039511 PNS Late Filing Annual Report- Suspension
	Robert J Ambruster, Inc	S/C: <input type="checkbox"/>	Suspension
DBA:	Ambruster Chapel	11/01/2012	This license has been suspended by operation of law pursuant to Section 436.480.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Complaint:	2012-006422	Rupp Enterprises Inc/ Rupp Funeral Home	2009038278 PNS- Late Filing Annual Report- Suspension
	Rupp Enterprises Inc	S/C: <input type="checkbox"/>	Suspension
DBA:	Rupp Funeral Home	11/01/2012	This license has been suspended by operation of law pursuant to Section 436.480.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Complaint:	2012-008423	Shipman Funeral Home	2000039207 PNS- Late Filing Annual Report Suspension
	Shipman Funeral Home	S/C: <input type="checkbox"/>	Suspension
		11/01/2012	This license has been suspended by operation of law pursuant to Section 436.480.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Missouri State Board of Embalmers and Funeral Directors
3605 Missouri Blvd.(Physical)
P.O. Box 7001
Jefferson City, MO 65102
(573) 751-0813
Website: <http://pr.mo.gov/embalmers>
Email: embalm@pr.mo.gov

2012 RENEWAL LICENSE AND ANNUAL REPORT

PRENEED SELLER

RENEWAL FEE: \$200.00

PER CONTRACT FEE \$36.00

PNS License Number:



Mailing Address:

Physical Address:

County:

Telephone:

Change in Telephone: _____

Fax: _____

Change in Fax: _____

Email: _____

Senate Bill 60

Businesses engaged in the retail sale of goods in Missouri must possess a no tax due compliance letter from the Department of Revenue at the time of license renewal. § 144.083 RSMo. (Cum Supp 2008). If have any questions regarding taxes or wish to verify compliance, contact Department of Revenue at 573/751/9268 or email: taxclearance@dor.mo.gov or web: dor.mo.gov/tax/business/sales/notaxdue/index.htm

1. This business engages in the sale of goods at retail in Missouri. If yes you must submit a copy of your No Tax Due Certificate. This renewal will not be processed without the No Tax Due Compliance.

YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

2. IF yes to question #1, my retail business has filed and paid all of its Missouri sales and withholding tax obligations.

<input type="checkbox"/>	<input type="checkbox"/>
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3. IF yes to question #1, what is your Missouri state tax ID number? _____ (8 numeric characters)

Your renewal may be rejected if tax ID number isn't provided.

INSTRUCTIONS

**THIS REPORT IS FOR THE REPORTING PERIOD OF
SEPTEMBER 1, 2011 TO AUGUST 31, 2012.**

If the renewal/annual report is submitted prior to the end of the reporting period, it will be returned.

1. YOU MAY FILE THE RENEWAL/ANNUAL REPORT OF YOUR PRENEED LICENSE AFTER AUGUST 31, 2012. THIS RENEWAL/ANNUAL REPORT IS DUE ON OR BY OCTOBER 31, 2012 PURSUANT TO 333.320.3 AND 436.460 RSMo, IF A SELLER FAILS TO FILE THE RENEWAL ON OR BEFORE OCTOBER 31, 2012 THE PRENEED LICENSE SHALL EXPIRE UNTIL SUCH TIME AS THE RENEWAL IS FILED AND ALL APPLICABLE FEES HAVE BEEN PAID. IF A SELLER FAILS TO FILE THE ANNUAL REPORT ON OR BEFORE OCTOBER 31, 2012 THE PRENEED SELLER LICENSE SHALL AUTOMATICALLY BE SUSPENDED UNTIL SUCH TIME AS THE ANNUAL REPORT IS FILED AND ALL APPLICABLE FEES HAVE BEEN PAID.

2. In order to provide sufficient time for processing, please return the entire renewal/annual report with the proper fees and all supporting documents as soon as possible.

333.320.3

333.320.4

436.460.7

3. Return this renewal/annual report with the fee of \$200.00 plus \$36.00 PER PRENEED CONTRACT sold during the reporting period as required in Section 436.460 RSMo. All checks or money orders shall be made payable to STATE BOARD OF EMBALMERS & FUNERAL DIRECTORS. Please be sure that all pages of this renewal/annual report are returned with supporting documents. You must return the original renewal/annual report to the board office. No self generated forms will be processed, however you can make copies to report additional information. All fees are non-refundable.

4. In order for the preneed seller license to be issued the renewal/annual report must be completed and submitted to the board office with the appropriate fees on or by October 31, 2012.

5. Submit verification of your corporate status with the Secretary of State, you can print a copy of this by visiting their website at <https://www.sos.mo.gov/BusinessEntity/soskb/csearch.asp>. This renewal will not be processed without the verification of corporate status and fictitious name registration.

NOTICE: No seller, provider, or preneed agent shall procure or accept a loan against any investment or asset of or belonging to a preneed trust or joint account; or to procure or accept a loan against any insurance contract used to fund a preneed contract. As of August 29, 2009, no preneed seller, provider, or agent shall use any existing preneed contract as collateral or security pledged for a loan or take preneed funds of any existing preneed contract as a loan or for any purpose other than as authorized by chapter 436.

333.320.2(2)

Please carefully read the instructions on each page of this renewal/annual report and when completed, sign & notarize the forms where indicated. The information appearing on this renewal/annual report is the information currently contained in the records of the State Board of Embalmers and Funeral Directors on the above named seller. You are responsible for the accuracy of this information. Please examine this renewal/annual report carefully and make any correction, deletions or additions.

SECTION A: Please verify the following information. If blank, please complete in detail.

● This seller's owner(s) is listed below, if this has changed please contact the board office prior to submitting this renewal.

● The manager in charge of the above named seller pursuant to 20 CSR 2120-3.200 is _____, if there has been a change please note the new manager in charge _____

PRENEED SELLER ANNUAL REPORTING NOTICE

Baumann Colonial Chapel, Inc
Preneed Seller Number: 2010008649

SECTION B: Background Questions

	YES	NO
1. Since the last renewal has any owner or officer of this licensee ever been denied a professional license, certification, registration or permit? If yes, explain fully in a statement.	<input type="checkbox"/>	<input type="checkbox"/>
2. Since the last renewal has any owner or officer of this licensee had any professional license, certification, registration or permit revoked, suspended, placed on probation, censured, reprimanded, fined or otherwise subjected to any type of disciplinary action? If yes, attach a full explanation and provide any relevant discipline documents.	<input type="checkbox"/>	<input type="checkbox"/>
3. Is any owner or officer of this licensee presently being investigated or is any disciplinary action pending against any professional license, certification, registration or permit you hold or have applied for? If yes, attach a full explanation and provide any relevant discipline documents.	<input type="checkbox"/>	<input type="checkbox"/>
4. Since the last renewal has any owner or officer of this licensee voluntarily surrendered or resigned any professional license, certification, registration or permit? If yes, attach a full explanation.	<input type="checkbox"/>	<input type="checkbox"/>
5. Since the last renewal has any owner or officer of this licensee been finally adjudicated and found guilty, or entered a plea of guilty or nolo contendere of a violation of any federal, state or municipal law, ordinance or rule, whether or not sentence was imposed (includes suspended imposition of sentence (SIS)). If yes, explain fully in a statement and provide certified court documents (ie: Docket Sheet, Information or Indictment and Final Disposition).	<input type="checkbox"/>	<input type="checkbox"/>
6. Since the last renewal has any owner or officer of this licensee been arrested, charged with of any violation of any federal, state or municipal law, ordinance or rule whether or not sentence was imposed (includes suspended imposition of sentence (SIS)). If yes, explain fully in a statement and provide certified court documents (ie: Docket Sheet, Information or Indictment and Final Disposition).	<input type="checkbox"/>	<input type="checkbox"/>
7. Since the last renewal has any owner or officer of this licensee been addicted to or used in excess any controlled substance (including illegal drugs and prescription drugs) or alcoholic beverage? If yes, explain fully in a statement.	<input type="checkbox"/>	<input type="checkbox"/>
8. Since the last renewal has any owner or officer of this licensee being treated, or have you been treated within the past five years for drug or alcohol addiction? If yes, attach a full explanation and provide discharge summary or other official documentation that shows your diagnosis, prognosis and treatment plan.	<input type="checkbox"/>	<input type="checkbox"/>
9. Since the last renewal has any owner or officer of this licensee been adjudged mentally incompetent by a state or federal court? If yes, attach a full explanation and provide certified court documents (i.e. Docket sheet, Complaint, and Final Disposition.)	<input type="checkbox"/>	<input type="checkbox"/>

SECTION C:

According to the Board's records this seller has entered into a written agreement with the following firms who are authorized to provide preneed contracts. In order for the Board to update our records, please indicate by checking Yes or No if these licenses are associated with this license. If none are listed, please use the space provided to update your record.

License # Name

Yes No
☐ ☐

436.460.1(7)

PRENEED SELLER ANNUAL REPORTING NOTICE

Preneed Seller Number:

SECTION D:

According to the Boards records the books or records which contain information about seller's preneed contract sales and services in Missouri are in the custody of and are available for inspection at said address:

NAME OF LOCATION OR PERSON:

ADDRESS:

Yes No

☐ ☐

436.460.1(8)

SECTION E:

According to the Boards' records the following preneed agents are authorized to sell, negotiate, or solicit the sale of a preneed contract on behalf of this preneed seller (if necessary additional sheets may be attached).

Name of Agent

Address

Registration # Yes No

☐ ☐
☐ ☐
☐ ☐
☐ ☐
☐ ☐

436.460.1(4)

SECTION F: Report all preneed contracts that were written from September 1, 2011 - August 31, 2012

(Do not report any contracts sold by a third party seller)

Number of Preneed Contracts Sold: (Give totals for each)	← 436.460.1(2) →	Face Value of These Contracts:
	Contracts in which payments are deposited into a <u>trust</u> , (do not report any money you have trusted with a third party seller)	\$
	Contracts in which payments are deposited into <u>joint</u> accounts	\$
	All <u>insurance</u> funded preneed contracts.	\$
* Total Number of Contracts Sold		Total Face Value of All Contracts: \$
* Multiply total number of contracts sold by \$36.00 and this will be the fee you will remit to the State Board of Embalmers and Funeral Directors.		

SECTION G:

According to the Boards records the following are financial institutions currently holding preneed trust accounts for the above named seller. Please make any necessary changes to update the boards' records.

Name of Financial Institution

Address

Last reported balance

Yes No

436.460.2(2)

PRENEED SELLER RENEWAL/ANNUAL REPORT
Reporting Period: September 1, 2011 - August 31, 2012

Preneed Seller: _____
License Number: _____

SECTION H: Trust account information:

Complete one report for each financial institution. If this section doesn't apply please mark NA in the spreadsheet below and continue to Section K.

Section 436.460 RSMo identifies the reporting requirements of trust funded preneed contracts sold pursuant to Sections 436.400-436.525 RSMo. For the time period of September 1, 2011 to August 31, 2012 please list each contract sold.

A. Name of Financial Institution _____ Date of this report: _____
Address of Financial Institution _____
 • Trust Account Number: _____ 436.460.2(1)
 • Trust Fund Balance Reported on previous years report _____ 436.460.2(2) 436.460.2 (1) 436.460.1(5)
 • Current face value of trust fund (as of 8-31-12) _____ 436.460.2(3)
 • Total trust earnings (interest income) to the seller since the previous report (as of 8-31-12) _____
 • Principal contributions (total of all payments made to trust, no interest) received by the trustee since the previous report (as of 8-31-12) _____
 • Total distributions to the seller since the previous report _____ 436.460.2(5)
 • Total expenses, excluding distributions to the seller since the previous report (as of 8-31-12) _____ 436.460.2(7)

For the time period of September 1, 2011 TO August 31, 2012 please list each contract sold:

Additional sheets are available on our website <http://pr.mo.gov/embalmers>. In lieu of completing the spreadsheet below, a computer print out generated by your firm or the financial institution that contains the information required may be attached to this form.

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5	COLUMN 6	COLUMN 7	COLUMN 8	COLUMN 9
Preneed contract sequential number	Name of preneed contract beneficiary	Address of contract beneficiary	Name of purchaser on preneed contract (if different than name in column 2)	Address of purchaser on preneed contract (if different than column 3)	Face (original) amount of preneed contract	Total distributions to the seller from the trustee	Face value of trust assets assigned to this contract	Fulfilled, cancelled or transferred (if applicable)
436.460.1(6)	436.460.1(6)				436.460.1(3)	436.460.2(5)		436.460.1(6)

SECTION I: Authorization

I hereby authorize the Board to request from the trustee listed in Section H a copy of any trust statement, as part of an investigation, examination or audit of the preneed seller.

Authorized Representative Signature _____ 436.460.2(6)	Title _____
Print Name _____	

SECTION J: Certification (section to be completed by a corporate officer of the trustee)

I certify under oath that the information listed in Section H that is required by section 436.460 is complete and correct and attested to by a corporate officer of the trustee. The trustee shall be subject to the penalty of making a false affidavit or declaration. In lieu of completing this certification, a computer print out generated certified, signed & notarized by the trustee that contains the information required by this section may be attached to this annual report.

NOTARY SECTION	Corporate Officer of Trustee Signature _____		NOTARY PUBLIC SEAL/STAMP
	Corporate Officer of Trustee Print Name and Title _____		
State of MISSOURI	COUNTY OF _____ 436.460.2(8)		
Subscribed and sworn to (or affirmed) before me this _____ day of _____, 20____			
Notary Public Signature: _____			
Notary Public Name Printed: _____			

PRENEED SELLER RENEWAL/ANNUAL REPORT
Reporting Period: September 1, 2011 - August 31, 2012

Preneed Seller: _____

License Number: _____

SECTION K: Joint account information:

Complete one report for each financial institution. If this section doesn't apply please mark NA in the spreadsheet below and continue to Section M.

Section 436.460 RSMo identifies the reporting requirements of joint account funded preneed contracts sold pursuant to Sections 436.400-436.525 RSMo.

A. Name of Financial Institution _____ **436.460.3(1)** Date of this report: _____
Address of Financial Institution _____

For the time period of *September 1, 2011 TO August 31, 2012* please list each contract sold:

Additional sheets are available on our website <http://pr.mo.gov/embalmers>. In lieu of completing the spreadsheet below, a computer print out generated by your firm or the financial institution that contains the information required may be attached to this form.

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5	COLUMN 6	COLUMN 7	COLUMN 8	COLUMN 9	COLUMN 10	COLUMN 11
Preneed contract sequential number	Date of Preneed Contract	Name on preneed contract	Name of purchaser on preneed contract	Address of purchaser on preneed contract	Individual joint account number	Face amount of preneed contract	Amount on deposit in each account (total amount consumer has paid, as of the last bank statement)	Principal contributions placed into each joint account (total of all payments made, no interest as of, the last bank statement)	Total distributions to the seller from each joint account	Fulfilled, cancelled or transferred (if applicable)
436.460.1		436.460.1(6)			436.460.3(1)	436.460.2(2)		436.460.3(6)		
					436.460.1(3)		436.460.3(4)		436.460.1(6)	

SECTION L: Certification (section to be completed by a corporate officer of the financial institution)

I certify under oath that the information listed in Section K that is required by section 436.460 is complete and correct and attested to by an authorized representative of the financial institution. The affiant shall be subject to the penalty of making a false affidavit or declaration. In lieu of completing this certification, a computer print out generated, certified, signed & notarized by the financial institution that contains the information required by Section K may be attached to this annual report.

NOTARY SECTION	Authorized Representative Signature _____ 436.460.3(8)		NOTARY PUBLIC SEAL/STAMP
	Authorized Representative Print Name and Title _____		
State of MISSOURI	COUNTY OF _____		
Subscribed and sworn to (or affirmed) before me this _____ day of _____, 20____			
Notary Public Signature: _____			
Notary Public Name Printed: _____			

PRENEED SELLER RENEWAL/ANNUAL REPORT
Reporting Period: September 1, 2011 - August 31, 2012

Preneed Seller: _____
License Number: _____

SECTION M: Joint account information:

Report all joint accounts written prior to this reporting period that were active (not fulfilled as of August 31, 2012).

Required by Chapter 436.460.3 (1)-(7)

Complete one report for each financial institution. If this section doesn't apply please mark NA in the spreadsheet below and continue to Section O.

Section 436.460.3 identifies the reporting requirements of joint account preneed funded contracts active as of August 31, 2012.

A. Name of Financial Institution _____ Date of this report: _____
Address of Financial Institution _____

Additional sheets are available on our website <http://pr.mo.gov/embalmers>. In lieu of completing the spreadsheet below, a computer print out generated by your firm or the financial institution that contains the information required may be attached to this form.

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5	COLUMN 6	COLUMN 7	COLUMN 8	COLUMN 9	COLUMN 10	COLUMN 11	COLUMN 12
Preneed contract sequential number (if applicable)	Date of Preneed Contract	Name on preneed contract	Name of purchaser on preneed contract	Joint account number	Amount on deposit on each joint account (as of 8-31-12)	Joint account balance as reported in the previous years report (only complete for the ones reported previously as of 8-31-12)	Principal contributions placed into each joint account since the last report (as of the last bank statement)	Total earnings since the previous report (Interest income, as of the last bank statement)	Total distributions to the seller from each joint account since the previous report (as of 8-31-12)	Total expenses deducted from the joint account, excluding distributions to the seller, since the previous report (as of 8-31-12)	Fulfilled, cancelled or transferred (if applicable) between September 1, 2011 to August 31, 2012
436.460.1					436.460.2(2)		436.460.3(4)		436.460.3(6)		436.460.1(6)
	436.460.1(6)			436.460.3(1)		436.460.3(3)	436.460.3(5)			436.460.3(7)	

SECTION N: Certification (section to be completed by a corporate officer of the financial institution)

I certify under oath that the information listed in Section M that is 436.460.4 in 436.460 is complete and correct and attested to by an authorized representative of the financial institution. The affiant shall be subject to the penalty of making a false affidavit or declaration. In lieu of completing this certification, a computer print out generated, certified, signed & notarized by the financial institution that contains the information required by Section M may be attached to this annual report.

NOTARY SECTION	Authorized Representative Signature		NOTARY PUBLIC SEAL/STAMP
	Authorized Representative Print Name and Title		
State of MISSOURI	COUNTY OF 436.460.3(8)		
Subscribed and sworn to (or affirmed) before me this _____ day of _____, 20____			
Notary Public Signature:			
Notary Public Name Printed:			

PRENEED SELLER RENEWAL/ANNUAL REPORT
Reporting Period: September 1, 2011 - August 31, 2012

Preneed Seller: _____
License Number: _____

SECTION O: Insurance Funded Preneed Contract(s) account information:

Complete one report for each insurance company. If this section doesn't apply please mark NA in the spreadsheet below and continue to Section Q.

Section 436.460 RSMo identifies the reporting requirements of insurance funded preneed contract sold pursuant to Sections 436.400-436.525 RSMo.

A. Name of Insurance Company _____ Date of this report: _____
Address of Insurance Company _____ **436.460.4(1)**

For the time period of *September 1, 2011 TO August 31, 2012* please list each contract sold:

Additional sheets are available on our website <http://pr.mo.gov/embalmers>. In lieu of completing the spreadsheet below, a computer print out generated by your firm or the insurance company that contains the information required may be attached to this form.

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5	COLUMN 6	COLUMN 7	COLUMN 8	COLUMN 9	COLUMN 10	COLUMN 11	COLUMN 12	COLUMN 13
Preneed contract sequential number	Date of preneed contract	Name on preneed contract	Address of person listed in column 3	Name of purchaser	Address of purchaser	Owner of insurance policy (completion optional)	Face amount of preneed contract	Status of insurance policy (in force, paid in full, lapsed, reduced, paid up, etc.)	Total face value of insurance policy (amount the policy was written for)	Amount of funds the seller directly received on each preneed contract (all monies received)	Date the amount in column 11 was forwarded to the insurance company	Fulfilled, cancelled or transferred
436.460.1												
	436.460.1(6)					436.460.1(3)						436.460.1(6)
							436.460.4(2)			436.460.4		
							436.460.4(2)			436.460.4		

SECTION P: CERTIFICATION (Section to be completed by an authorized representative of the insurance company)

I certify under oath that the information listed in Section O that is required by section 436.460 is complete and correct attested to by an authorized representative of the insurer. The affiant shall be subject to the penalty of making a false affidavit or declaration. In lieu of completing this certification, a computer print out generated, certified, signed & notarized by the insurance company that contains the information required by Section O may be attached to this annual report.

NOTARY SECTION	Authorized Representative Signature	NOTARY PUBLIC SEAL/STAMP
	Authorized Representative Print Name and Title	
State of MISSOURI	COUNTY OF 436.460.4(4)	
Subscribed and sworn to (or affirmed) before me this _____ day of _____, 20____		
Notary Public Signature:		
Notary Public Name Printed:		

PRENEED SELLER RENEWAL/ANNUAL REPORT
Reporting Period: September 1, 2011 - August 31, 2012

Preneed Seller: _____
License Number: _____

SECTION Q: Insurance Funded Preneed Contract(s) w/ Insurance assignments account information:

Complete one report for each insurance company. If this section doesn't apply please mark NA in the spreadsheet below and continue to Section S.

Section 436.460, RSMo identifies the reporting requirements of insurance funded preneed contract sold pursuant to Sections 436.400-436.525 RSMo.

For the time period of *September 1, 2011 TO August 31, 2012* please list each contract sold:

Additional sheets are available on our website <http://pr.mo.gov/embalmers>. In lieu of completing the spreadsheet below, a computer print out generated by your firm that contains the information required may be attached to this form.

	A: Name and Address of Insurance Company	B: Name and Address of Insurance Company	C: Name and Address of Insurance Company	D: Name and Address of Insurance Company
	436.460.4(1)			
Preneed Contract Sequential Number	436.460.1(1)			
Date of preneed contract				
Name on Preneed Contract	436.460.1(6)			
Address of Insured in Row 3				
Name of Purchaser				
Address of Purchaser				
Owner of Insurance policy(completion optional)				
Face Amount of preneed contract	436.460.1(3)			
Status of insurance policy (in force, paid in full, lapsed, reduced paid up, etc., if known)	436.460.4(2)			
Total face value of insurance policy(amount the policy was written for, if known)	436.460.4(2)			
Amount of funds the seller directly received on each preneed contract (all monies received)	436.460.4(3)			
Fulfilled, cancelled or transferred	436.460.1(6)			

SECTION R: CERTIFICATION (Section to be completed by an authorized representative of the preneed seller)

I certify under oath that the information listed in Section Q that is required by section 436.460 RSMo is complete and correct attested to the best of my knowledge.

NOTARY SECTION	Authorized Representative Signature	436.460.4(4)	NOTARY PUBLIC SEAL/STAMP
	Authorized Representative Print Name and Title		
State of MISSOURI	COUNTY OF		
Subscribed and sworn to (or affirmed) before me this _____ day of _____, 20____			
Notary Public Signature:			
Notary Public Name Printed:			

PRENEED SELLER RENEWAL/ANNUAL REPORT
Reporting Period: September 1, 2011 - August 31, 2012

Preneed Seller:
License Number:

436.460.1(9-10)

SECTION S:

CONSENT TO INVESTIGATE, EXAMINE AND/OR AUDIT ACCOUNTS, BOOKS AND RECORDS AND
ATTESTATION

I hereby authorize and instruct the financial institution(s), insurance companies and the custodian listed on this renewal/annual report to allow at any time without prior notice any inspector, examiner, or auditor of the State Board of Embalmers & Funeral Directors to investigate, examine and/or audit any of seller's joint or trust account(s), established under 436.400 to 436.520 RSMo, or seller's books and records relating to the sale of preneed contracts. I understand that the State Board of Embalmers and Funeral Directors may order this investigation, examination and/or audit at its discretion, and I consent to such an investigation, examination and/or audit. I hereby swear that the information herein contained on this renewal/annual report, as well as all information on any attachment(s) to this report, is true and complete in every respect and has not been altered or revised.

NOTARY SECTION	Authorized Representative Signature	NOTARY PUBLIC SEAL/STAMP
	Print Name	
State of MISSOURI	COUNTY OF	
Subscribed and sworn to (or affirmed) before me this _____ day of _____, 20____		
Notary Public Signature:		
Notary Public Name Printed:		

Sign up for all the latest news and meeting dates via Email/Text Updates from the Board at
<https://public.govdelivery.com/accounts/MOIFP/subscriber/new>